

**ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY**  
**(A Company Limited by Guarantee)**

**UNAUDITED**

**ANNUAL REPORT OF THE TRUSTEES AND FINANCIAL STATEMENTS**  
**FOR THE YEAR ENDED 31 DECEMBER 2019**

# **ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY**

(A Company Limited by Guarantee)

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# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

(A Company Limited by Guarantee)

## REFERENCE AND ADMINISTRATIVE DETAILS OF THE COMPANY, ITS TRUSTEES AND ADVISERS FOR THE YEAR ENDED 31 DECEMBER 2019

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### Trustees

**Honorary President** Professor Marilyn Palmer

**Honorary Vice Presidents** Professor Angus Buchanan, Sir Neil Cossons, Professor John Hume

### The Elected Officers during the year

Chairman	Dr Michael Nevell
Vice Chairman	Professor David Perrett
Honorary Secretary	David de Haan
Honorary Treasurer	John Jones

### Council of Management

Tony Crosby (until 11th August 2019), David de Haan, Keith Falconer, Bruce Hedge, John Jones, Shane Kelleher (until 16th October 2019), Ian Miller, Michael Nevell, Marilyn Palmer, Amber Patrick, David Perrett, Joanna Turska (from 11th August to 28th October 2019), Geoff Wallis (from 11th August 2019) and Ian West.

### Roles of Council members during 2019, including Co-opted members

Bill Barksfield	Overseas Trips, Peter Neaverson Travel Bursary judge and Peter Neaverson Digital Initiative judge, Webmaster and member of Communications Team
Chris Barney	Editor <i>IA News</i> , Local Society Publication Award judge and Best Creative Re-use of an Industrial Building Award judge and member of Communications Team
Dr Robert Carr	British Archaeological Awards liaison, TICCIH-GB Representative and Restoration Grant panel judge
Dr Paul Collins	Facebook Manager
Tony Crosby	Restoration Grant liaison with donor, APPT representative and HLF Industrial, Maritime & Transport (IM&T) group representative
David de Haan	Honorary Secretary, Liaison Officer, Restoration Grant panel judge, NLHF IM&T representative and Sales Officer (from 11th August 2019)
Stephen Dewhirst	Dorothea Award coordinator
Keith Falconer	Restoration Grant panel coordinator and Best Creative Re-use of an Industrial Building Award judge
Roger Ford	Sales Officer (until 11th August 2019)
Rebecca Haslam	Assistant Editor <i>IA Review</i> (until October 2019)
Bruce Hedge	Membership development
John Jones	Honorary Treasurer
Shane Kelleher	Archaeological Awards coordinator and Peter Neaverson Digital Initiative coordinator (until 16th October 2019)

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## REFERENCE AND ADMINISTRATIVE DETAILS OF THE COMPANY, ITS TRUSTEES AND ADVISERS FOR THE YEAR ENDED 31 DECEMBER 2019

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### Trustees (continued)

John McGuinness	Conference Secretary
Michael Messenger	Professional Publication judge and Restoration Grant panel judge
Stephen Miles	Conference Booking Secretary and Restoration Grant panel judge
Ian Miller	Co-editor <i>IA Review</i> and Archaeological Awards judge
Dr Michael Nevell	Chairman, Peter Neaverson Outstanding Scholarship Award judge, Research Grant coordinator, ERIH and EFAITH liaison and Dissertation Awards judge
Prof Marilyn Palmer	Hon President, Dissertation Awards coordinator, Publication Awards coordinator and Peter Neaverson Outstanding Scholarship Award judge
Amber Patrick	Planning Casework Officer, Peter Neaverson Travel Bursary judge, Archaeological Report judge and Best Creative Re-use of an Industrial Building Award coordinator
Prof David Perrett	Vice Chairman
Dr Tegwen Roberts	Social Media, and Dissertation Awards judge, supervisor of AIA twitter account and member of Communications Team
Joanna Turska	Industrial Heritage Support Officer (until 30th September 2019)
Dr Ian West	Co-editor <i>IA Review</i> , Health & Safety Officer, Communications Manager, Peter Neaverson Outstanding Scholarship coordinator and Peter Neaverson Travel Bursary coordinator

**Company registered number**  
1326854

**Charity registered number**  
277511

**Registered office**  
Ironbridge Gorge Museum Trust, Coach Road, Coalbrookdale, Telford, Shropshire, TF8 7DQ

**Independent Examiners**  
Scrutton Bland LLP, Ftizroy House, Crown Street, Ipswich, Suffolk, IP1 3LG

**Bankers**  
NatWest plc, 50 Station Road, Llanishen, Cardiff, CF14 5QP

# **ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY**

(A Company Limited by Guarantee)

## **TRUSTEES' REPORT**

**FOR THE YEAR ENDED 31 DECEMBER 2019**

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The Trustees (who are also directors of the charity for the purposes of the Companies Act) present their annual report together with the financial statements of Association for Industrial Archaeology (the company) for the year ended 31 December 2019. The Trustees confirm that the Annual Report and financial statements of the company comply with the current statutory requirements, the requirements of the company's governing document and the provisions of the Statement of Recommended Practice (SORP), applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) (effective 1 January 2019).

Since the company qualifies as small under section 383, the strategic report required of medium and large companies under The Companies Act 2006 (Strategic Report and Director's Report) Regulations 2013 is not required.

### **Objectives and Activities**

#### **a. Policies and objectives**

The objects for which the Association is established are to encourage and promote for the public benefit the study of, and research in, the archaeology of industry and the industrial period, and to promote education in the identification, recognition and conservation of the industrial heritage. To achieve this the Association funds Restoration Grants; awards cash prizes for research and publications; sponsors new research; lobbies bodies concerned with legislation, planning and funding; unites individuals, local societies, academics and field professionals; represents industrial archaeology nationally and internationally; runs conferences and practical workshops; publishes a biannual academic journal and quarterly newsletters.

### **Structure, governance and management**

#### **a. Constitution**

The Association for Industrial Archaeology is registered in England as a charitable company limited by guarantee, under the Companies Act 1948 (no 1326854) and the Charities Act 1960 (no 277511). The company was established under the Memorandum of Association which established the objects and powers of the charitable company and is governed under its articles of association. In the event of the Association being wound up the members are liable for payment of the debts and liabilities of the Association and of the costs, charges and expenses of winding up. Each member is liable for such amount as may be required not exceeding £1.00.

#### **b. Method of appointment or election of Trustees**

The Council of Management is responsible for the appointment of Trustees, as a member of the Council, who are elected and co-opted under the terms of the Articles of Association.

### **Meetings and Representation**

In 2019 the AIA Council met three times: Leicester in March, London in June and Ironbridge in October. Extracts of the meetings were posted on the AIA website and reported in IA News.

The Secretary fielded 34 Restoration Grant applications in the weeks approaching the closing date of 31st March, before seeking the views of judging the Panel. This was the highest number since the grant programme began. Council's approval was sought for their shortlist at their June meeting in London. Details of the decisions are given later in this report.

# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

(A Company Limited by Guarantee)

## TRUSTEES' REPORT (continued) FOR THE YEAR ENDED 31 DECEMBER 2019

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### Representation

Council members also represent the AIA and industrial archaeology matters in other groups and committees regionally and nationally to promote the Objects of the Association. Below are some of the key positions they held during the year.

Professor Marilyn Palmer our President, is on the National Trust Historic Environment Panel as a Specialist Advisor, she chairs the East Midlands Council for British Archaeology (CBA) committee, and is on the National Railway Heritage Awards committee. She is on the Leicestershire Industrial History Society as Vice President and on the committee of the Leicestershire Archaeological & Historical Society and chairs the Trustees of their Research Fund. She represents AIA on the Heritage Alliance and is also the Subject Advisor for Archaeology to the Third Age Trust.

Dr Michael Nevell our Chairman, is on Historic England's Expert Advisory Group, a trustee of the CBA and Chair of its North West Industrial Archaeology Panel, and a committee member of the Chartered Institute for Archaeologists' Voluntary & Communities special interest group.

John Jones our Honorary Treasurer, is a committee member and Records Officer of the Suffolk Industrial Archaeology Society, and Honorary Treasurer of the East of England Regional Industrial Archaeology Conference.

David de Haan our Honorary Secretary, is on the HLF's Industrial, Maritime & Transport group (IM&T) and is Chairman of the Friends of the Ironbridge Gorge Museum.

Dr Robert Carr represents AIA at the AGM of the British Archaeological Awards, is a member of the Newcomen Society papers committee, a representative at the Lea Valley Heritage Alliance, a member of the Greater London Industrial Archaeology Society, and the AIA representative on the International Committee for the Conservation of the Industrial Heritage (TICCIH).

Tony Crosby is on the All Party Parliamentary Group (APPG) on the Industrial Heritage, a Council member of the Essex Society for Archaeology & History, and Chairman of the Essex Industrial Archaeology Group. He is also a member of the HLF IM&T group.

Keith Falconer is on the APPG on the Industrial Heritage.

Bruce Hedge is Chairman of the Vale of White Horse Industrial Archaeology Group, volunteer at Swindon Museum, and adviser for the Charney Bassett Watermill Project.

Michael Messenger is Vice President of the Railway & Canal Historical Society.

Ian Miller is a member of the Industrial Archaeology Committee of the Cumbria & Westmorland Antiquarian and Archaeological Society, and a member of the CBA North West Industrial Archaeology Panel.

Amber Patrick the Planning Casework Officer, is on the Council of the Gloucestershire Society for Industrial Archaeology, on the committee of the Brewery History Society and coordinator of the Maltings Special Interest Group.

Professor David Perrett has been Chair of the Board of the Greater London Industrial Archaeology Society (GLIAS) since 2010 and he represents GLIAS on the Lea Valley Heritage Alliance. He has been a member of SERIAC organising committee since 1980.

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# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

(A Company Limited by Guarantee)

## TRUSTEES' REPORT (continued) FOR THE YEAR ENDED 31 DECEMBER 2019

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### **Lobbying, Advocacy and Communication**

#### Planning Casework

During the year Amber Patrick, the Planning Casework Officer, looked at 78 industrial archaeology cases and commented on 15 of them, including referrals either from local authorities or direct from AIA members. It had been agreed in October 2018 that the Ancient Monuments Society (AMS) and the AIA work together on applications in respect of industrial buildings/sites cases. This was implemented in June 2019 and has worked well. The AMS undertake the initial sift and refer appropriate cases to Amber Patrick indicating whether they wish to comment; she checks the application and if appropriate submits comments on behalf of the AIA. If the AMS is also commenting then we draft a response including appropriate guidance and legislation, sent with sufficient time for them to submit the comment.

#### Communications Plan and e-bulletin

A working party was set up to produce a Communications Plan and in March the details, purpose, roles and responsibilities were agreed. A high priority was a quarterly e-bulletin, which Ian West compiled and Bill Barksfield circulated. Council has noted that the social media reach is growing and at the beginning of the year there were 2,748 followers on our Facebook page. Paul Collins, the Facebook manager, was commended for this.

#### Industrial, Maritime & Transport Group

There were no meetings in 2019, which was a period of major organisational change at NLHF, so it remains to be seen if that Group will be reconvened in 2020.

#### The All Party Parliamentary Group (APPG) on the Industrial Heritage

Due to all the other business that Parliament had to deal with, including a General Election, the only event in 2019 was the Industrial Heritage summit, which took place on Thursday 11th July. This event – thought to be the first ever national summit to promote the value of the UK's industrial heritage – was held at the Victoria & Albert Museum in London, with an invited audience of 100 speakers and delegates representing the industrial heritage sectors in all four nations of the UK. The AIA was very well represented not only as delegates, but our Chairman, Mike Nevell gave one of the keynote speeches, President, Marilyn Palmer, Vice-President, Sir Neil Cossons, and Joanna Turska were speakers and panellists.



# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

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## TRUSTEES' REPORT (continued) FOR THE YEAR ENDED 31 DECEMBER 2019

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### Annual Conference

The Association held its annual conference at the Cannington campus of Bridgwater College from 9th to 14th August, organised by Peter Daniel. At the Friday Seminar on 'Tourism and Industrial Archaeology and Heritage' presentations were given on Historic England's Industrial Heritage Strategy, on Industrial Heritage Networks, Timber and Tin, Disability Access, Industrial Tourism in Ironbridge, the European Route of Industrial Heritage and on Chinese Railway Museums. The following day there were lectures on the Grand Western Canal, Coker Sailcloth, the Somerset Coalfield and an update on the Restoration Grants. These were followed by presentations from five recipients of awards before the annual dinner at Taunton Racecourse. After the AGM on 11th August attended by 76 members and guests Dr Peter Stanier gave the Rolt Memorial Lecture on 'Landscape without Machines: remembering the little things'. On subsequent evenings there were lectures on Hope Cement works, land drainage in Somerset, and Yeovil's gloving industry.

On the Sunday there were three concurrent visits – to the Brick & Tile Museum, the town and docks of Bridgwater, to the West Somerset Railway at Williton and Minehead, and to Coldharbour Mill at Uffculme. On Monday half the delegates visited land drainage pumping stations at Gold Corner, Currymore and Westonzoyland, while the rest went to Chard Museum, Hornsbury Mill, Burrow Hill cider farm, and Coates willow farm at Stoke St Gregory. On Tuesday there were two visits – either to the iron mining sites in the Brendon Hills, the West Somerset Mineral Railway and Watchet harbour, or to the Fleet Air Arm Museum at Yeovilton, Dawes Twineworks at West Coker and quarries at Stoke-sub-Hamdon. On Wednesday there were two more visits. The first was to the Mendips and covered Moons Hill quarry at Stoke St Michael and the adjacent Earth Science Centre, Whatley quarry, a large hole at Asham Wood and a short ride on the East Somerset Railway from Cranmere Station. The second visit went to Street, home of Clark's Shoes, to the old Anglo-Bavarian brewery at Shepton Mallett, to Boyd's Horsehair works at Castle Cary and to the Rose & Crown pub at Huish Episcopi. A full illustrated report appeared in the winter edition of *IA News*, number 191.

Full credit must go to Peter Daniel, Iain Miles and Mary Miles and for the excellent support from John McGuinness and Steve Miles. Our thanks also go to the many host organisations for their involvement with the study visits.

### Publications

**IA News:** Our quarterly is the bulletin and main communication organ of the AIA. Four full and informative issues under the editorship of Chris Barney were published in 2019, encouraging high standards in all aspects of the study of industrial archaeology. Illustrated reports covered all the Association's activities as well as short technical articles, reports on the work of the AIA Council, affiliated societies, regional news, international news, visits, conferences, letters, etc. Highlights during 2019 included illustrated reports on current AIA grant-funded restoration projects, our annual conference in Bridgwater, tall chimneys, the Spring tour in Hungary and the Wenzhou alum mine in China.

We were saddened to lose several long-standing members during the year, and obituaries for Tony Yoward, Jim Arnold, Ray Riley, Richard Hills and Joan Day appeared in *IA News*.

**IA Review:** Peer reviewed and with an international Editorial Board, the journal of the AIA is now in its 40th year and was edited by Dr Ian West and Ian Miller with Rebecca Haslam as Assistant Editor. Published by Taylor & Francis, we have seen a dramatic increase in article downloads.



# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

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## TRUSTEES' REPORT (continued) FOR THE YEAR ENDED 31 DECEMBER 2019

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Vol 41.1, the first issue for 2019, was delivered in late May. It contained articles on a range of national and international subjects, including the first contribution to *IAR* from China, which charts the evolution of salt production in the Three Gorges region up to the late 19th century. The second international article examined the adoption of British steam-engine technology on Caribbean sugar plantations. Other articles are on china-clay production in Devon, the excavation of a locomotive and carriage works in London, a study of a lock-keepers cottage on the Regent's Canal, and an account of the sources of mill stones across Ireland. All 80 pages in the new format had been filled, and 34 pages were in full colour.

Issue 41.2 was circulated in November and carried Geoffrey Stell's 2018 Rolt Lecture on Science and Engineering at War in Orkney. It was otherwise a themed issue on the subject of iron manufacture and processing. Papers covered English iron-working sites that include new historical evidence for Coalbrookdale, and excavations of ironworks in Tyne & Wear and South Wales, together with a slitting mill in Cheshire. A further paper considered aspects of the iron industry in the US Confederacy.

### Awards

To encourage scholarship and investigation in the industrial archaeology field, awards were made to archaeologists, historians, professionals and students:

1. The winner of the Peter Neaverson Award for Outstanding Scholarship was John Barnatt of Historic England, for *The Archaeology of Underground Mines and Quarries in England*.
2. The Voluntary Societies Publication Award went to Dr AH Andrews (editor) of Staffordshire Industrial Archaeology Society, for *SIAS Journal No 25 – Golden Jubilee Edition*.
3. Archaeological Report Awards Two awards were made to John Pickin, Penny Middleton and Kate Chapman of Northern Archaeological Associates, for *Low Bonsor Dressing Mill: Archaeological Community Landscape & Building Survey*, and for *Penny Rigg Copper Mill: Archaeological Community Landscape & Building Survey*.
4. The Postgraduate Dissertation Awards The winner in the PhD category went to John Bowman, School of History, Classics & Archaeology, Newcastle University, for *The Iron and Steel Industry of the Derwent Valley*. The winner of the MA category was Samantha Frandsen, MSc in Exploration Geophysics, University of Leeds, for *A multi-platform geophysical investigation of an industrial archaeology site: Microgravity surveying and modelling constrained by GPR & ERT, applied at the former Elsecar Gasworks (Barnsley)*.
5. The Dorothea Award The award went to the Ellenroad Steam Museum, Rochdale for the rebuilding of a horizontal steam engine. Manufactured by the Railway & General Engineering Company of Nottingham, it was originally from Downing's Brick & Tile works near Stoke on Trent.
6. Research Grant This new award was first offered in early 2019. Two awards were made: To Charlotte Goodge for travel to and a survey of the Gamble sugar plantation, Ellington, Florida. £820; and to Karen Pollock for recording historic graffiti (1860s to 1940s) at Dinorwic Quarry Hospital, Llanberis, Wales. £680.

# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

(A Company Limited by Guarantee)

## TRUSTEES' REPORT (continued) FOR THE YEAR ENDED 31 DECEMBER 2019

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7. Creative Re-use Award The award went to the University of Northampton for a former 1872 railway engine shed and office which have become the headquarters for the Students' Union. With the help of Heritage Lottery Funding, the engine shed has been restored back to its original state externally, complete with lantern roof. It now houses the HQ of the Students' Union, with a café, games area and meeting spaces in a two storey 'pod' at the far end. There are glass doors to some rooms, engraved on which are various old images of the railway, maps etc., which are an innovative reminder of the origins of the building. The small office not far away now serves as the student post room, and a section of railway line has been installed between this and the main shed. The original inspection pits were uncovered during the refurbishment, but after recording they are once again hidden beneath the floor. The building therefore provides a number of ways of giving new generations of students an understanding of the heritage of the site. The Engine Shed is also open to the public as a café from 9am-5pm Monday to Friday.

### Restoration Grants

In 2019 the Association received further very generous donations from our two anonymous donors to support our Restoration Grants. As in previous years new restoration projects and progress on the on-going ones were reported in greater detail to the annual conference in August. As usual the fund was heavily over-subscribed and this year there were 34 applications requesting total grants of £530,301. This was the highest number so far. The spread included: five locos; five buildings; five vessels; four water mills; four for machinery; four vehicles; two stationary engines; one mining headgear; one railway carriage; one aeroplane; one timber waggonway and one signpost.

Eight awards were made totalling £130,683, listed below in the order of ranking by the Grant Panel:

1. Stephenson Railway Museum, Tyne & Wear, for the preservation of an early 19th century timber waggonway for display in Newcastle Discovery Museum. £11,000.
2. Chatham Historic Dockyard Trust, for the restoration of 1854 rope-making machinery. £17,200.
3. Macclesfield Silk Museum, for the conservation of two Jacquard silk weaving looms to allow them to be demonstrated. £16,000.
4. Big Pit, National Museum Wales, for the restoration of headframe structure. £20,000.
5. Gwili Vintage Carriage Group, for the restoration of chassis, running gear and brake gear of Taff Vale Railway locomotive No 28 of 1897. £18,250.
6. Hat Works Museum, Stockport, for the restoration of belt drives, lubrication and air jet cleaning of 30 fur felt hatting machines. £16,463.
7. Bristol Aero Collections Trust, for the Restoration of 1954 Bristol Freighter Type 170 by volunteers. Transport, tools and equipment. £11,770.
8. Susan Trust, Chelmsford, for the Restoration of the 1953 wooden lighter 'Susan' from the Chelmer & Blackwater Navigation. £20,000.

# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

(A Company Limited by Guarantee)

## TRUSTEES' REPORT (continued) FOR THE YEAR ENDED 31 DECEMBER 2019

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### Industrial Heritage Support Officer

Building on the work begun in late 2018 to establish Industrial Heritage Networks (IHNs), there are now groups in eleven regions of England, each representing between 20 and 50 organisations and sites. The first networks were in the North East, the South West and the West Midlands. Since then there have been further networks set up in Cornwall & Devon, the East of England, the East Midlands, Hampshire, London, the North West, the South East and Yorkshire. During 2019 meetings were held in ten of the eleven regions, each one attended by the Industrial Heritage Support Officer, Joanna Turska.

A dedicated web site offers an information hub, blog posts and support, with guidelines that can be downloaded from [www.industrialheritagenetworks.com](http://www.industrialheritagenetworks.com). It includes a role description for Industrial Heritage Network Co-ordinators. These are volunteer roles aiming to provide a professional development opportunity for junior staff members and volunteers across the industrial heritage sites/organisations who join IHNs. These Co-ordinators have been trained and line managed by the IHSO and deliver various tasks including administration, organisation of IHNs meetings and social media output. The key aim of the IHN Co-ordinator roles is to address the skills gap and shortage across the sector (soft skills, social media skills, etc.).

Other work included the IHSO's attendance at the Association of Independent Museums Conference and providing articles about the IHNs for the Association of British Transport Museums' newsletter, the AIA newsletter and the new AIA e-bulletin. Joanna Turska left the IHSO post on the 30th August 2019 and was replaced in March 2020 by Mike Nevell.

### Visits

#### Country House Comfort & Convenience tours

A new tour for 2019 led by Ian West, 'Weald of Kent & Sussex', took place 3rd to 6th June. 18 people in total enjoyed visits to Petworth house and the nearby Coultershaw water driven pump, Nymans, the Salomons Estate, Bateman's (former home of Rudyard Kipling) and Scotney Castle. A further tour, 'The North West', also led by Ian West began on 30th September and featured visits to Lyme Park, Quarry Bank Mill & the Greg family house, Tatton Park including the water-powered water pump house, and Dunham Massey.

#### Spring Tour 2019, Hungary 13th – 18th May

In a busy schedule the Tour included a ride on the famous 'Pioneer' Railway (operated by children) and visits to the Szentendre Open Air museum, a Coal Mine, a Windmill, the Paks Nuclear Power Plant, a Boat Mill, the Technical Study Stores in Budapest and ended with a dinner cruise on the Danube, all of which was very well received by the group. There were 44 people in total including Bill Barksfield, and Agnes Walker who took the vital role of interpreter..

### Results

The net surplus for the year amounted to £21,337, with £18,482 attributable to restricted funds, £nil to designated funds and a surplus of £2,855 attributable to unrestricted funds (2018: net surplus of £103,987, with £95,559 attributable to restricted funds, £nil to designated funds and a surplus of £8,428 attributable to unrestricted funds). In preparing this report, the Council has taken advantage of special exemptions applicable to small companies conferred by Schedule 8 of the Companies Act 2006.

# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

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## TRUSTEES' REPORT (continued) FOR THE YEAR ENDED 31 DECEMBER 2019

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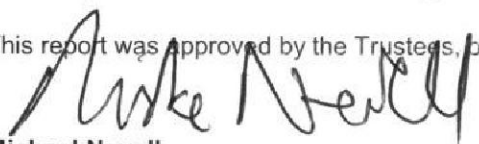
### Reserves Policy


The policy remains unchanged from 2018 whereby Council maintains a contingency for a late cancellation of the annual conference, for a cancellation of an issue of *Industrial Archaeology Review*, and for a sufficient reserve to cover cash flow fluctuations during the year. The Council considers that a reserve of not less than £60,000 is required. There was one abstention.

### Changes on Council

Tony Crosby and Mark Watson left the Council at the AGM and their places were filled by Joanna Turska and Geoff Wallis. David Perrett became the Vice Chairman. Tony Crosby was co-opted. However, shortly after the AGM both Joanna Turska and Shane Kelleher resigned due to pressure of work. The Honorary Secretary continued to act as the Liaison Officer, who throughout the year supported Council, dealt with queries and forward information to the appropriate quarter and managed the applications for Restoration Grants. We are very grateful to all officers and members of Council for the extensive amount of time and effort that they commit voluntarily to ensure the smooth running of the Association through Council and its committees.

This report was approved by the Trustees, on 20/6/20 and signed on their behalf by:

  
Michael Nevell  
Chairman

  
John Jones  
Treasurer

# **ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY**

(A Company Limited by Guarantee)

## **INDEPENDENT EXAMINER'S REPORT FOR THE YEAR ENDED 31 DECEMBER 2019**

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### **Independent Examiner's Report to the Trustees of Association for Industrial Archaeology (the 'company')**

I report to the charity Trustees (who are also Directors for the purpose of company law) on my examination of the accounts of the company for the year ended 31 December 2019, which comprise the Statement of Financial Activities, the Balance Sheet and related notes.

This report is made solely to the charity's Trustees, as a body, in accordance with section 145 of the Charities Act 2011. My work has been undertaken so that I might state to the charity's Trustees those matters I am required to state to them in an Independent Examiner's Report and for no other purpose. To the fullest extent permitted by law, I do not accept or assume responsibility to anyone other than the charity and the charity's Trustees as a body, for my work, for this report, or for the opinions I have formed.

### **Responsibilities and Basis of Report**

As the Trustees of the charity (and its directors for the purposes of company law) you are responsible for the preparation of the accounts in accordance with the requirements of the Companies Act 2006 ('the 2006 Act').

Having satisfied myself that the accounts of the company are not required to be audited under Part 16 of the 2006 Act and are eligible for independent examination, I report in respect of my examination of the company's accounts carried out under section 145 of the Charities Act 2011 ('the 2011 Act'). In carrying out my examination I have followed the Directions given by the Charity Commission under section 145(5)(b) of the 2011 Act.

An independent examination does not involve gathering all the evidence that would be required in an audit and consequently does not cover all the matters that an auditor considers in giving their opinion on the financial statements. The planning and conduct of an audit goes beyond the limited assurance that an independent examination can provide. Consequently I express no opinion as to whether the financial statements present a 'true and fair' view and my report is limited to those specific matters set out in the independent examiner's statement.

### **Independent Examiner's Statement**

I have completed my examination. I can confirm that no matters have come to my attention in connection with the examination giving me cause to believe:

1. accounting records were not kept in respect of the company as required by section 386 of the 2006 Act; or
2. the accounts do not accord with those records; or
3. the accounts do not comply with the accounting requirements of section 396 of the 2006 Act other than any requirement that the accounts give a 'true and fair' view which is not a matter considered as part of an independent examination; or
4. the accounts have not been prepared in accordance with the methods and principles of the Statement of Recommended Practice for accounting and reporting by charities [applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102)].

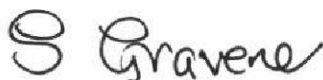
# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

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## INDEPENDENT EXAMINER'S REPORT (continued) FOR THE YEAR ENDED 31 DECEMBER 2019

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I have no concerns and have come across no other matters in connection with the examination to which attention should be drawn in this report in order to enable a proper understanding of the accounts to be reached.



Signed:

Dated: 3 July 2020

Sharon Gravener ACA

### **Scrutton Bland LLP**

Chartered Accountants

Fitzroy House  
Crown Street  
Ipswich  
Suffolk  
IP1 3LG

# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

(A Company Limited by Guarantee)

## STATEMENT OF FINANCIAL ACTIVITIES INCORPORATING INCOME AND EXPENDITURE ACCOUNT FOR THE YEAR ENDED 31 DECEMBER 2019

	Note	Unrestricted funds 2019 £	Restricted funds 2019 £	Total funds 2019 £	Total funds 2018 £
<b>Income from:</b>					
Donations and legacies	2	2,256	150,800	153,056	227,459
Charitable activities		57,789	-	57,789	64,442
Other trading activities	5	6,529	-	6,529	6,873
Investments	4	852	205	1,057	828
<b>Total income</b>		<b>67,426</b>	<b>151,005</b>	<b>218,431</b>	<b>299,602</b>
<b>Expenditure on:</b>					
Charitable activities	7,6,8	64,571	132,523	197,094	195,615
<b>Total expenditure</b>		<b>64,571</b>	<b>132,523</b>	<b>197,094</b>	<b>195,615</b>
<b>Net income before other recognised gains and losses</b>		<b>2,855</b>	<b>18,482</b>	<b>21,337</b>	<b>103,987</b>
<b>Net movement in funds</b>		<b>2,855</b>	<b>18,482</b>	<b>21,337</b>	<b>103,987</b>
<b>Reconciliation of funds:</b>					
Total funds brought forward		78,594	140,943	219,537	115,550
<b>Total funds carried forward</b>		<b>81,449</b>	<b>159,425</b>	<b>240,874</b>	<b>219,537</b>

The notes on pages 15 to 23 form part of these financial statements.



# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

(A Company Limited by Guarantee)

REGISTERED NUMBER: 1326854

## BALANCE SHEET

AS AT 31 DECEMBER 2019

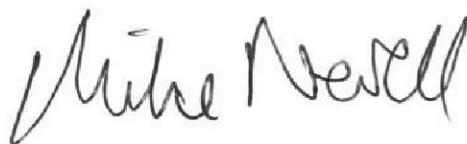
	Note	£	2019 £	£	2018 £
<b>Current assets</b>					
Debtors	10	33,750		49,648	
Cash at bank and in hand		500,085		408,964	
		<u>533,835</u>		<u>458,612</u>	
<b>Creditors:</b> amounts falling due within one year	11	(292,961)		(239,075)	
<b>Net current assets</b>			<u>240,874</u>		<u>219,537</u>
<b>Net assets</b>			<u>240,874</u>		<u>219,537</u>
<b>Charity Funds</b>					
Restricted funds	12		159,425		140,943
Unrestricted funds	12		81,449		78,594
<b>Total funds</b>			<u>240,874</u>		<u>219,537</u>

The company's financial statements have been prepared in accordance with the provisions applicable to companies subject to the small companies regime.

The Trustees consider that the company is entitled to exemption from the requirement to have an audit under the provisions of section 477 of the Companies Act 2006 ("the Act") and members have not required the company to obtain an audit for the year in question in accordance with section 476 of the Act.


The Trustees acknowledge their responsibilities for complying with the requirements of the Companies Act 2006 with respect to accounting records and the preparation of financial statements.

The financial statements were approved and authorised for issue by the Trustees on 20/6/20 and signed on their behalf, by:



Michael Nevell

Chairman



John Jones

Treasurer

The notes on pages 15 to 23 form part of these financial statements.

# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

(A Company Limited by Guarantee)

## NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2019

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### 1. Accounting Policies

#### 1.1 Basis of preparation of financial statements

The financial statements have been prepared in accordance with Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) (effective 1 January 2019) - (Charities SORP (FRS 102)), the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) and the Companies Act 2006.

The financial statements have been prepared to give a 'true and fair' view and have departed from the Charities (Accounts and Reports) Regulations 2008 only to the extent required to provide a 'true and fair' view. This departure has involved following the Charities SORP (FRS 102) published on 16 July 2014 rather than the Accounting and Reporting by Charities: Statement of Recommended Practice effective from 1 April 2005 which has since been withdrawn.

Association for Industrial Archaeology meets the definition of a public benefit entity under FRS 102. Assets and liabilities are initially recognised at historical cost or transaction value unless otherwise stated in the relevant accounting policy.

#### 1.2 Company status

The company is a company limited by guarantee. The members of the company are the Trustees named on page 1. In the event of the company being wound up, the liability in respect of the guarantee is limited to £1 per member of the company.

#### 1.3 Fund accounting

General funds are unrestricted funds which are available for use at the discretion of the Trustees in furtherance of the general objectives of the company and which have not been designated for other purposes.

Restricted funds are funds which are to be used in accordance with specific restrictions imposed by donors or which have been raised by the company for particular purposes. The costs of raising and administering such funds are charged against the specific fund. The aim and use of each restricted fund is set out in the notes to the accounts.

#### 1.4 Incoming resources

Voluntary income is received by way of donations and gifts and is included in full in the statement of financial activities when receivable.

Investment income is included when receivable.

Incoming resources from charitable trading activity are accounted for when earned.

# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

(A Company Limited by Guarantee)

## NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2019

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### 1. Accounting Policies (continued)

#### 1.5 Resources expended

Expenditure is recognised on an accrued basis as a liability is incurred. Expenditure includes any VAT which cannot be fully recovered and is reported as part of the expenditure to which it relates.

Governance costs include those costs associated with meeting the constitutional and statutory requirements of the Charity and include the accountancy fees and costs linked to strategic management of the charity.

Charitable expenditure comprises those costs incurred by the Charity in the delivery of the activities and services for its beneficiaries. It includes both costs that can be allocated directly to such activities and those costs of an indirect nature necessary to support them.

Grants payable are charged in the year when the offer is made except in those cases where the offer is conditional, such grants being recognised as expenditure when the conditions attaching are fulfilled. Grants offered subject to conditions which have not been met at the year end are noted as a commitment, but not accrued as expenditure.

#### 1.6 Going concern

The Trustees have considered the position of the charity and the accounts are prepared on a going concern basis. Since the year end, the Trustees have reviewed the forecast and anticipate that the charity will be able to meet its liabilities for the next 12 months.

#### 1.7 Debtors

Trade and other debtors are recognised at the recoverable amount.

#### 1.8 Cash at Bank and in hand

Cash at bank and in hand includes cash and short term highly liquid investments with a short maturity of three months or less from the date of acquisition or opening of the deposit or similar account.

#### 1.9 Liabilities and provisions

Creditors are recognised at their settlement amount after allowing for any trade discounts due.

# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

(A Company Limited by Guarantee)

## NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2019

### 2. Income from donations and legacies

	Unrestricted Funds 2019 £	Restricted Funds 2019 £	Total Funds 2019 £	Total Funds 2018 £
Gift Aid	2,156	30,000	32,156	46,959
Donations and legacy	100	120,800	120,900	180,500
Total donations and legacies	2,256	150,800	153,056	227,459

In 2018, of the total income from donations and legacies, £1,959 was to unrestricted funds and £225,500 was to restricted funds.

### 3. Income from charitable activities

	Unrestricted funds 2019 £	Restricted funds 2019 £	Total funds 2019 £	Total funds 2018 £
Conference income	39,889	-	39,889	48,108
Subscriptions	17,900	-	17,900	16,334
	57,789	-	57,789	64,442
Total 2018	64,442	-	64,442	

### 4. Investment income

	Unrestricted funds 2019 £	Restricted funds 2019 £	Total funds 2019 £	Total funds 2018 £
Bank interest	852	205	1,057	828
Total 2018	665	163	828	

# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

(A Company Limited by Guarantee)

## NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2019

### 5. Trading activities

	Unrestricted funds 2019 £	Restricted funds 2019 £	Total funds 2019 £	Total funds 2018 £
<b>Charity trading income</b>				
Industrial Archaeology Review	6,113	-	6,113	6,262
Industrial Archaeology News	-	-	-	93
Gazetteers	326	-	326	518
Other sale of publications	90	-	90	-
	<u>6,529</u>	<u>-</u>	<u>6,529</u>	<u>6,873</u>
Net income from trading activities				
	<u>6,873</u>	<u>-</u>	<u>6,873</u>	
Total 2018				
	<u>6,873</u>	<u>-</u>	<u>6,873</u>	

### 6. Resources expended

	Unrestricted funds £	Total 2019 £	Total 2018 £
Administration costs	6,040	6,040	5,044
AIA Awards	1,500	1,500	1,357
IA review	10,019	10,019	9,389
IA News	5,687	5,687	5,602
Gazetteers	2,321	2,321	35
Conference	37,429	37,429	42,576
	<u>62,996</u>	<u>62,996</u>	<u>64,003</u>
Total 2018			
	<u>64,003</u>	<u>64,003</u>	

### 7. Governance costs

	Unrestricted funds 2019 £	Restricted funds 2019 £	Total funds 2019 £	Total funds 2018 £
Independent examination	1,575	-	1,575	1,508
	<u>1,575</u>	<u>-</u>	<u>1,575</u>	<u>1,508</u>

# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

(A Company Limited by Guarantee)

## NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2019

### 8. Grants made

#### Restoration grants to institutions:

	2019 £	2018 £
Newcastle Discovery Museum	11,000	-
Chatham Historic Dockyard Trust	17,200	-
Macclesfield Silk Museum	16,000	-
Big Pit Museum, Blaenavon	20,000	-
Gwili Vintage Carriage Group	18,250	-
Hat Works Museum	16,463	-
Bristol Aero Collections Trust	11,770	-
Susan Trust	20,000	-
Ipswich Transport Museum	-	7,000
Sudbury Gasworks Restoration Trust	-	15,500
Middlewich Heritage Trust	-	17,000
National Tramway Museum, Crich	-	20,000
Coldharbour Mill Trust	-	20,000
National Trust	-	18,000
South Yorkshire Transport Museum	-	10,000
Hollycombe Working Steam Museum	-	19,000
Awards Fund Grants	500	500
Peter Neaverson Awards	1,340	3,104
Total	<u>132,523</u>	<u>130,104</u>

### 9. Net income/(expenditure)

During the year, no Trustees received any remuneration (2018 - £NIL).

During the year, no Trustees received any benefits in kind (2018 - £NIL).

During the year, nine Trustees received reimbursement of travelling expenses totalling £1,638 (2018 - £1,298 paid to nine Trustees).

### 10. Debtors

	2019 £	2018 £
Trade debtors	32,916	47,057
Prepayments and accrued income	834	2,591
	<u>33,750</u>	<u>49,648</u>

# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

(A Company Limited by Guarantee)

## NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2019

### 11. Creditors: Amounts falling due within one year

	2019 £	2018 £
Trade creditors	15,078	15,479
Other creditors	277,883	223,596
	<u>292,961</u>	<u>239,075</u>

### 12. Statement of funds

#### Statement of funds - current year

	Balance at 1 January 2019 £	Income £	Expenditure £	Balance at 31 December 2019 £
<b>Designated funds</b>				
Designated Funds	15,000	-	-	15,000
<b>General funds</b>				
General Funds	63,594	67,426	(64,571)	66,449
Total Unrestricted funds	<u>78,594</u>	<u>67,426</u>	<u>(64,571)</u>	<u>81,449</u>
<b>Restricted funds</b>				
Restoration grant fund	109,281	150,000	(130,683)	128,598
Neaverson legacy	30,831	205	(1,340)	29,696
Awards fund	831	800	(500)	1,131
	<u>140,943</u>	<u>151,005</u>	<u>(132,523)</u>	<u>159,425</u>
Total of funds	<u>219,537</u>	<u>218,431</u>	<u>(197,094)</u>	<u>240,874</u>

The Neaverson legacy was bequeathed by the late Peter Neaverson and the fund is to be used for student travel bursaries and awards for outstanding scholarship.

The restoration grant fund is funded by two anonymous donors and is to be used to support restoration of industrial monuments and artefacts.

The Awards fund was given by an anonymous donor several years ago, to provide initiative awards to encourage the preservation of industrial monuments and artefacts and to enable members to attend the Association's annual conference if they could not otherwise afford to do so.



# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

(A Company Limited by Guarantee)

## NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2019

### 12. Statement of funds (continued)

The Designated Fund has been established to subsidise attendance at our Annual Conference by people who could not otherwise afford to do so. The funds originate from a legacy received from the late Patrick Nott in 2017.

#### Statement of funds - prior year

	Balance at 1 January 2018 £	Income £	Expenditure £	Balance at 31 December 2018 £
<b>Designated funds</b>				
Designated Funds	15,000	-	-	15,000
<b>General funds</b>				
General Funds	55,166	73,939	(65,511)	63,594
Total Unrestricted funds	70,166	73,939	(65,511)	78,594
<b>Restricted funds</b>				
Restoration grant fund	10,781	225,000	(126,500)	109,281
Neaverson legacy	33,772	163	(3,104)	30,831
Awards fund	831	500	(500)	831
	45,384	225,663	(130,104)	140,943
Total of funds	115,550	299,602	(195,615)	219,537

# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

(A Company Limited by Guarantee)

## NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2019

### Summary of funds - current year

	Balance at 1 January 2019 £	Income £	Expenditure £	Balance at 31 December 2019 £
Designated funds	15,000	-	-	15,000
General funds	63,594	67,426	(64,571)	66,449
	<u>78,594</u>	<u>67,426</u>	<u>(64,571)</u>	<u>81,449</u>
Restricted funds	140,943	151,005	(132,523)	159,425
	<u>219,537</u>	<u>218,431</u>	<u>(197,094)</u>	<u>240,874</u>

### Summary of funds - prior year

	Balance at 1 January 2018 £	Income £	Expenditure £	Balance at 31 December 2018 £
Designated funds	15,000	-	-	15,000
General funds	55,166	73,939	(65,511)	63,594
	<u>70,166</u>	<u>73,939</u>	<u>(65,511)</u>	<u>78,594</u>
Restricted funds	45,384	225,663	(130,104)	140,943
	<u>115,550</u>	<u>299,602</u>	<u>(195,615)</u>	<u>219,537</u>

# ASSOCIATION FOR INDUSTRIAL ARCHAEOLOGY

(A Company Limited by Guarantee)

## NOTES TO THE FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2019

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### 13. Analysis of net assets between funds

#### Analysis of net assets between funds - current year

	Unrestricted funds 2019 £	Restricted funds 2019 £	Total funds 2019 £
Current assets	95,016	438,819	533,835
Creditors due within one year	(13,567)	(279,394)	(292,961)
	<u>81,449</u>	<u>159,425</u>	<u>240,874</u>

#### Analysis of net assets between funds - prior year

	Unrestricted funds 2018 £	Restricted funds 2018 £	Total funds 2018 £
Current assets	93,045	365,567	458,612
Creditors due within one year	(14,451)	(224,624)	(239,075)
	<u>78,594</u>	<u>140,943</u>	<u>219,537</u>