

In Control Partnerships Trustees' report and accounts for the year ended 31 March 2020

Charity registration no: 1130761 Company registration no: 06393960 (England and Wales)

A Company Limited by Guarantee

Contents

	Page
Reference and administration information	3
Chair's report	4
Statement from the Chief Executive Officer	5
Treasurer's report	13
Trustees' report	15
Independent Examiner's report	20
Statement of financial activities	21
Balance sheet	22
Notes to the accounts	23

Reference and administrative information

For the year ended 31 March 2020

Trustees Clenton Farquharson MBE

Loredana Guetg-Wyatt (Treasurer) Susan Bott CBE (vice chair)

Gillian Crosby Paul Davies

Joanna Webber (Chair)

Kevin Williams

Chief executive officer Julie Stansfield

Company secretary Julie Stansfield

Charity number 1130761

Company number 06393960

Principal address PO BOX 16749

Solihull

West Midlands B90 9LA

Registered address Invicta House

108-114 Golden Lane London

EC1Y 0TL

Independent Examiner Fleur Holden

Sayer Vincent LLP Invicta House

108-114 Golden Lane London

EC1Y 0TL

Bankers Barclays Bank Plc

Mell Square

19-21 Mill Lane Solihull

B91 3AR

The Co-operative Bank Plc

80 Cornhill

London EC3V 3NJ

Chair's Report

For the year ended 31 March 2020

As the chair of In Control, I am presenting its twelfth annual report.

The need for a neutral and independent, charitable entity to influence the wider national welfare agenda continues and amplifies within the current coronavirus status. In Control has, as part of its mission, to champion the maintenance of strong ethical values and principles in the delivery of self-directed support. It has strength through *its* "people power", it's thought leadership and practical innovation. It has offered very timely support and services to the challenges being faced by many in the current circumstances.

In her report below, Julie Stansfield, Chief Executive Officer, sets out in greater detail the development of activities from In Control.

As Julie reports, in its twelfth year of operation as an independent entity, In Control has needed to shift and adapt to the circumstances we now face. In Control continues to reorganize, develop and leads the way to meet the challenges. Our statutory community, which includes individual and regional adult social care, children's social care, independent and voluntary providers of care and support and health organisations including Clinical Commissioning Groups, is working alongside our vast voluntary community of people, with the aim to make people's lives better whether they be carers, people with self-directed support packages or those trying to put a package in place for themselves or others.

In Control is continuing to build on the firm foundations which have been created during its lifetime through the hard work and dedication of its staff and through the people that it serves and I would like to send my heartfelt thanks to everyone who works with and for the charity. I would also like to express my personal thanks to all the Trustees, both past and present who have been involved in the work of the charity. Your enthusiasm and commitment sets us in good stead for the future and it is much appreciated.

Jo Webber

Chair of In Control Partnerships Board of Trustees

Statement from the Chief Executive Officer

For the year ended 31 March 2020

As one of the initial founders of In Control, I remain honored and very proud to have played a key part in its development and the important work it carries out.

Our overarching aim is to help build a better world for those who by reason of their circumstances are in need of additional support. In Control's values are rooted in a deep appreciation of human rights and the value of human diversity. We believe in supporting citizenship and community at every level and having faith in the capacity of every individual with support to contribute to their own development and consequently create a better society for all. Our objectives are:

- to provide support to people who, by reason of disability, age, ill health or some similar cause, have need of additional support;
- to enable people who need additional support to lead an ordinary life; and
- To advance the education of the public in relation to the needs of people who need additional support.

I report that in our twelfth year of operation we have continued to change and develop as an organisation. In particular, this year has continued our focus on the challenge between the rhetoric and the reality and by the end of the year significant change to give support during the Covid-19 crisis.

At the beginning of the year we focused much of our work on closing the "rhetoric-reality gap" in the context of delivering the personalization agenda from the Care Act & Children & Families Act 2014 and NHS mandates and guidance. The failure to deliver authentic self-direction promised by policy in social care, health and education is severely impacting on too many people and families. In Control run a support-line to advice people. Thankfully we are often able to help people to get better outcomes and help local professionals improve how they deliver. However, we are increasingly finding that even when individuals, families and professionals know the law and have the correct information, people are saying they are being ignored and their rights denied. Simply having good information is not enough; people are needing strong, independent, authoritative support behind them as well. With the added Coronavirus crisis, the introduction of the Corona crisis Bill came into effect at the end of the year, alongside this came guidance on the Care Act Easement. In Control was involved in the consultation process for this guidance and as we move into the next year are developing plans to ensure such "easements" do not create a greater disadvantage to those who are recipients of health and social care and any decisions made based on these easements are in line with ethical guidance, helpfully published by Government. (Insert link ethical guidance)

As well as helping individuals to realise the potential of self-directed support, we support local leaders on how to work through complex conflicts constructively so they feel more confident in supporting people on the ground to keep them included and in their own community, accessing the support they need. This work has often emerged via demand from statutory members wanting conflict resolution support or from families and local groups directly accessing our support. Whilst this can be tough going, we are already seeing benefits where local statutory bodies have changed their local policy or pathway based from the live experience. The relationships built during this kind of intervention can help build a positive loop to help make positive progress on other issues.

In Control over last year continues to shift the balance of our work. Whilst the final leg of this shift came with immediate effect and we responded very swiftly at the onset of the pandemic.

Statement from the Chief Executive Officer For the year ended 31 March 2020

Highlights of some of our key achievements and activities over the year are below.

Community of change

We gather statutory staff and people who receive their services at our Community of change events. In Control currently have 14 members of the community. We engage many speakers with lived experience to share their expertise and generate good conversations. This year events highlights were: This year the events have continued to focus on preparing for adulthood, POET (personal outcome evaluation tool) and Co production

Leadership programmes

Partners et al

We continue to run leadership programmes based on "Partner in Policymaking", at the beginning of the year we completed the "Rights of Passage Course" for 4 local authorities in the Gt Manchester area. We also completed an "All Together Better" course in Somerset and have started a second programme. All Together Better is based on the belief that people who need support and their families have always been the true experts on what they want and need in order to lead positive, fulfilling, successful lives.

The course is designed to train, identify, support and sustain a local network of people who work within statutory, providers or community alongside those who receive support. It instigates an inclusive culture change for people who believe that things can be better for people and their families and who want to make a difference in their local community. It is about: Providing people with the right mix of information and inspiration, so they know what support is available and what is possible, in order for them to plan the best futures for themselves and other people in the community.

Meeting & collaborating with others who want things to be better in the local area and making new contacts, which become incredibly powerful and make a real difference.







Statement from the Chief Executive Officer For the year ended 31 March 2020

LECPC (Leading Empowered Communities and Personal Care)

This year In Control partnered with NHSE to deliver the LECPC course. The course has been coproduced and delivers directly to the NHS workforce alongside some "experts by experience". A national course is just coming to the end and we have also been a key part of the team running 5 smaller regional leadership courses with the NHS regional leadership teams.



More local bespoke work

Alongside our main work we also undertake specific bespoke work. We have worked with a small number of CCG's resolving disputes via mediation or independent investigation, this works well as In Control has both the understanding of limits within the system and a grounded view of the impact systems can have upon families. In Control is often pragmatic in its approach bringing common sense resolutions. It should be noted even the most complex disputes have resulted in a resolution. In control has worked with Greater Manchester's personal Care programme, offering mentorship and support to a number of Local Authorities in service developments.

Publications

POET is utilised by 25 LA's the majority being in children services, where we provide individual local supports based on the POET results. We continue to develop a national report with TLAP.

From our work with the Independent Living Strategy group, which is a network of disabled people's organisations and their allies. The group is chaired by Baroness Jane Campbell and includes disabled people who were part of the independent living movement from the 1970s, as well as younger activists, other individuals and organisations concerned with the future of independent living. We have produced several reports which are being used on a regular basis by people who receive health and social care and those who work within the field.



Statement from the Chief Executive Officer

For the year ended 31 March 2020

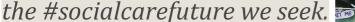
Social Care Futures





In Control continue to support the Social Care Future National network. The aim is to help change how we conceive of care and support and tell a different story about what it can do to the wider public – one that they can get behind. This continues to create an amazing gathering of people collecting the best ideas, innovations and connections for the future of social care.

Don't we all want to live in the place we call home with the people and things that we love, in communities where we look out for one another, doing the things that matter to us? That's







#socialcarefuture is a growing movement of people with a shared commitment to bring about major positive change in what is currently called "social care." It's for those who want to take part in imagining, communicating and creating together a future where what we currently call social care makes a major contribution to everyone's wellbeing and which, as a result, will enjoy high levels of public – and hence political – support.

Those coming together via this growing movement include people with lived experience, families, professionals, managers, support providers, user-led organisations, politicians, commissioners, community groups and others.

Statement from the Chief Executive Officer For the year ended 31 March 2020

From a work activity point of view we are now supporting and working on two main areas >

- 1. Public mobility
- 2. Specific support to people who need support due to ill health, age or disabled.

(Both in line with our core objectives of the charity)

1. Public Mobility

On February 14th 2020 we launched the Be Human Movement.

This movement was set up with the aim to start to shift attitudes. Hosted by In Control Partnerships, its main concept is the belief that how people feel is important. If people feel that they know what is going on and how things work they have more confidence and value and appreciate the experience. This might seem to be a simple concept but it is actually very powerful for all organisations to understand. Here are the Be Human principles



10 organisations directly signed up at the launch. Eight of which, are within the health and social care field and 2 which are generic public small businesses. They registered and committed to survey with either their employees and/or their recipients/customers to establish how people felt about their organization against the Be Human Principles. This work was financially supported via a grant from Esmee Fairburn.

In mid-March as Covid-19 started to make its mark we created a wider public movement named Coronaheroes via a Facebook page. https://www.facebook.com/groups/585056092098186/



We also linked with Covid-19 Mutual Aid UK who are very helpfully keeping a register of all the new groups where they can and we are directing new groups to register there. https://covidmutualaid.org/get-involved/

This public movement group founded by citizens in Oldham but working nationally, regionally and locally. This was part of a national effort with others such as "Covid-19 Mutual Aid" to instigate local mutual aid groups which we knew would be necessary as the virus started to take effect.

To gain more publicity to this effort we engaged with the BBC & Local press to raise awareness

Press release for The Manchester Evening News:

https://www.manchestereveningnews.co.uk/news/greater-manchester-news/coronavirus-coronaheroes-greater-manchester-help-17925742



Coronaheroes were also picked up by the BBC (filmed on 17th March) shown on BBC Breakfast and BBC world news on the 18th March.

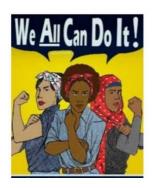
https://sawn.org.uk/wp-content/uploads/2020/03/sawn-on-bbc.mp4?_=1

Nationally > group leaders are on the page linking and sharing info, people can get help to find their local group and find national information supporting and linking to Covid-19 Mutual Aid UK

Regionally > mostly the focus is still in the Gt Manchester area as this is where it was initiated and gained the most publicity. People from all over the UK and other countries are posting and developing their own groups

Locally > There remains some very local posts to Oldham, but lots of groups are now set up and we are directing these to their own local groups.

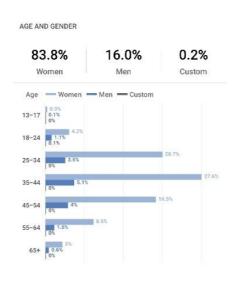
Street Level > The focus over the last month on March was to devolve the groups to street level as it's safer and prevents groups turning into troops of process. Tagged as "#inmystreet" & "#everybodyneedsgoodneighbours" we encouraged a neighbours to neighbours model.



Statement from the Chief Executive Officer

For the year ended 31 March 2020







As national aid takes effect, we are working with the messages of stages >

- 1. Support from family, friends and neighbours (Mutual aid NO governance)
- 2. Local community hubs (run by local councils > volunteers are checked but also work and link with mutual aid groups (governed by local councils)
- 3. National voluntary aid (NHS TROOP recruitment, governed nationally, full checks are made on volunteers for statutory aid)
- 4. Statutory support > health and social care statutory support services. Governed and delivered by paid qualified & trained keyworkers.

For people in our public community they can do any or all, they are not exclusive, and all are of vital importance during the crisis. In Control's focus has been in actively developing 1 & 2 and supporting and advising 3 & 4.

2. Specific support to people who need support due to ill health, age or disabled

In Control gave an immediate platform for people who are on Direct Payments through a personal budget or personal health budget. This group was not mentioned in any early guidance. So, on Tuesday 17th March at 6.30pm we gathered people to share ideas, concerns & plans. The webinar was registered by over 250 people. From this we have created a schedule of webinars that have and are now taking place where registration has doubled to close to 500. The recordings to the webinars can be found at https://be-human.org.uk/webinars/



Statement from the Chief Executive Officer For the year ended 31 March 2020



Our master plan is to start to bring these 2 areas together therefore we have utilised the Be-Human platform (with kind permission from Esmee Funders) to hold this activity. This collates all the work that is being shared and gives useful links.

www.be-human.co.uk/coronaheroes

Despite the current challenges In Control are doing their utmost to continue with its mission and objectives. This is for the most due to an incredibly talented, dedicated & hard-working team at its core. My very grateful thanks to Gaynor Cockayne, Lynne Elwell, Wendy Kellett, Chris Hatton, Richard Holland, Tricia Nicoll & Martin Routledge, also to our supporting bodies NHS England, Disability Rights UK, and Learning Disability England & The Esmee Fairburn Foundation

Julie Stansfield
Chief Executive Officer

Treasurer's Report

For the year ended 31 March 2020

Financial Review

Key Activities

In the past year, In Control has adapted to new circumstances; from working from home to supporting its beneficiaries during a pandemic. As outlined by the Chairman, In Control continues to reorganize, develop and leads the way to meet the challenges. Nevertheless, key activities and sources of income remain from the provision of support services, programmes and projects to families and to organisations in the health, education and social care sectors.

The chief executive's report sets out in greater detail a description of key services delivered in 2019/20, some of which will continue to be delivered in 2020/21.

Financial Results

Our aim for 2019/20 was to operate across our programmes with a small surplus, while seeking to reduce costs further. During the year, various fixed costs have been effectively reduced as the charity now operates a 'cloud' based model with employees working from home. Costs related to salaries have also decreased as employees that have left the charity voluntarily have not been replaced.

During 2019/20 we have received several donations related to the Social Care Future Programme £98,100. The funds are donated for the purpose of bringing partners together from across the Social Care Field to work towards a better future for Social Care for people and families in England. These donations are restricted in nature and In Control is responsible for the administration of the fund. These donations are mainly utilised to cover expenses for social gatherings. During 2019/2020 we had limited opportunities to utilise the donations resulting in an unbudgeted surplus of £79,115 which has been accounted as restricted reserve.

Results for the year for 2019/2020 shows an overall surplus of £87,683 (2019: deficit of £90,960). Income for the year was £460,232 an increase of £151,805 compared to the previous year (2019: £308,427).

As expected, given the challenging public sector budgets, income from membership activities has decreased significantly to £85,992 (2019: £146,745).

Grant income for the year was £40,000 (2019: £0) a notable increase from 2019 largely due to securing foundation grants for supporting personnel costs from the Esmee Fairbairn Foundation.

The largest part of In Control's expenditure, despite the reduction in the overall number of employees, remains to be staff (contracted and associate) costs at £221,225 (2019: £253,670). As per previous years, we continue to closely monitor associate rates in line with the market and client expectations.

Reserves Policy

We continue to monitor our reserves to ensure that they remain prudent in the light of our own circumstances and general economic conditions.

Treasurer's Report

For the year ended 31 March 2020

The charity reserve policy is to maintain a level of unrestricted funds that will enable the charity to ensure a continuity of activity and have the ability to adjust, in a measured way, to significant changes in the external economic environment and demands on the services provided by the charity. The Board of Trustees recognises that a level of unrestricted reserves sufficient to maintain the day to day operations of In Control for a period between 6 to 9 months is appropriate. At 31 March 2020 unrestricted reserves amounts to £308,566 which meets the policy requirements to hold sufficient unrestricted reserves to cover expenditure for a period of up to 8 months.

Investment Policy

The charity can make investments that are within the guidelines and regulations issued from time to time by the Charity Commission. The charity holds funds in interest bearing bank accounts.

Cash balances remains positive with year-end cash £486,595 (2019: £415,022).

Our cash balances remain on deposit primarily with four financial institutions. Interest income remains low due to current market interest rates though we believe we have achieved a prudent balance between income and guarding against the risk of failure of any one institution.

As a smaller charity, we benefit from the protection offered by the Financial Services Compensation Scheme of £85,000 per institution. In the later part of 2017, we have moved our primary banking relationship from the Co-operative Bank plc to Barclays Bank plc, retaining a small current account with the Co-operative Bank plc.

Governance and Control

The Finance and Business Committee met four times during 2019/20. Its purpose is to review budget preparation and management accounts, including progress against budgets, in order both to monitor performance and make recommendations to management as required.

In Control's financial position and forecast is also reported formally to the Board of Trustees which also meets four times each year, which includes an Outcome Impact focus – trustees are keen and passionate to see resources making a difference to the mission.

Our control systems ensure sign-off of all contracts against certain criteria built into a project template and which ensure that each project remains financially viable. Due to the new controls and reviews introduced last year, bad debt remains at very low levels and is thoroughly and tightly managed. I commend and thank the team for their very efficient and effective running of the charity.

Loredana Guetg-Wyatt Honorary Treasurer

For the year ended 31 March 2020

The Trustees present their report and the audited financial statements of the charity for the year ended 31 March 2020. The financial statements comply with the Charities Act 2011, the Companies Act 2006, the Memorandum and Articles of Association, the requirements of a directors' report in company law, and Accounting and Reporting by Charities: the Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102).

The Trustees have referred to the guidance contained in the Charity Commission's general guidance on public benefit when reviewing our aims and objectives and in planning our future activities. In particular, the Trustees consider how planned activities will contribute to the aims and objectives they have set.

Principal aims and activities

In Control's mission is to create a fairer society where everyone needing additional support has the right, responsibility and freedom to control that support.

The main objectives of In Control are set out in the Chief Executive Officer's report, which also details In Control's achievements and the plans for next year. In Control's legal and administrative details are set out on page 3.

There are a number of factors that are critical to the achievement of In Control's objectives including relationships with our programme subscribers, suppliers, employees, and contracted staff, members of the public, government, funders and the wider sector.

Structure, governance and management legal structure

In Control Partnerships is a company limited by guarantee, which was registered as a charity on 27 July 2009 with the Charity Commission. It is referred to throughout this report as "In Control". It is governed by memorandum and articles adopted on the date of incorporation (9 October 2007), as amended by special resolution on 21 May 2009. It started to operate as an independent organisation from 1 April 2008.

History

In Control was founded in 2003 by a small group of people who wanted to make life better for people needing support. It was responsible for pioneering the concept of self-directed support and developed individual/personal budgets as a way for people to take charge of their support.

Inspired by the Independent Living and Inclusion Movement, In Control piloted the self-directed support model across six areas of England - bringing real, sustainable benefits with no increase in costs.

Between 2005 and 2007, this work strongly influenced government policy and resulted in 'Putting People First', a national policy which introduced personal budgets. In Control is continuing to influence government policies affecting a wide range of support systems, including personal health budgets, criminal justice, and homelessness and continuing development in children's services and adult social care.

In 2011, together with the Centre for Disability Research at Lancaster University, we developed the Personal Outcome Evaluation Tool (POET) and published the results of the First National Personal Budget Survey, identifying the outcomes and experiences of people using personal budgets, and those of their family carers, to better understand what improvements were needed. We repeat the POET survey on an annual basis and have since developed it for personal health budgets, as well as for use

For the year ended 31 March 2020

in children's services to measure the impact of Education, Health and Care Plans and personal budgets for children and young people with special educational needs and/or disabilities.

In 2014 the Care Act modernised and consolidated the law on adult social care in England into one statute, and the Children and Families Act introduced the most wide-ranging policy and practice reforms for children with SEND and their families for more than 30 years. In response we produced two reports, reviewing how local authorities were performing and highlighting a set of key messages.

There have been further reports based on the implementation progress which are noted in the CEO report.

Network and subscription structure

In Control is able to support and advise a large number of statutory and non-statutory organisations through a variety of services, free and low-cost networks and fee-based services.

Governance and management The Board of Trustees

The affairs of In Control are governed by a Board of Trustees who are also the directors for the purposes of the Companies Act 2006 and the Trustees of the charity for the purposes of charity legislation. They are referred to collectively in this report as "the Trustees" or "the board".

The board met four times in the year. It has overall ownership and responsibility for In Control and meets at least quarterly to approve budget, to monitor financial and operational activity, to review policies and services, delegate operational activity to the chief executive and plan for the future. The board has also set up one sub-committee, Finance, Audit and Business, in order to work closely with In Control's staff.

Within the board, Trustees have specific areas of responsibility including the treasurer and company secretary. Any exceptional decisions not related to general operations or business will be presented to the board by the chief executive officer to approve.

The Trustees, all of whom served throughout the year were:

Susan Bott CBE (Vice Chair)
Gillian Crosby
Paul Davies
Clenton Farquharson MBE
Loredana Guetg-Wyatt – (Treasurer)
Joanna Webber (Chair)
Kevin Williams

Appointment and terms of office of Trustees

A person is first appointed as a trustee by the Trustees must retire at the next annual general meeting and is then eligible for re-election by the members. Each trustee is assigned to serve for three-year renewable terms. The trustees may review the activities and service at the time of re-election. There is no limit to the number of terms to which trustees may be elected.

None of the Trustees has any beneficial interest in the company other than the declaration of related party transactions noted herein. All of the Trustees are members of the company and guarantee to contribute £1 in the event of a winding up.

For the year ended 31 March 2019

Trustee induction

Most new Trustees are already familiar with In Control, with its activities and with charitable operations in

General because they are drawn from partner connection or member agencies, most of which are, or deal

With, charities. However, all new Trustees are offered a personalised programme of induction to enable them to be fully conversant with the organisation, the operation of the board, obligations under charity and company law, the memorandum and articles, the way the charity and its members operate, the operating environments, staffing structure, staff, financial monitoring and future plans.

Operations and staffing

The chief executive officer, Julie Stansfield, is responsible for In Control's operations through delegated authorities. The chief executive officer, in turn, delegates areas of operation to those overseeing particular work areas. The current staff structure and persons used on a self-employed, seconded or contracted basis during the year for the delivery of services are set out below:

Employees of In Control during the year

Gaynor Cockayne, office manager *
Lynne Elwell, head of imagination
Wendy Kellett, finance assistant & admin
Martin Routledge, policy advisor *
Julie Stansfield, chief executive officer *
John Waters, research and evaluation manager * (July 19)
Professor Chris Hatton (Sept 19)

Self-employed, seconded or contracted staff used during the year

Tricia Nicoll Richard Holland Neil Crowther Karen Saville Andy Walker

Staff involvement

In Control's staff has comprised a mixture of employees, seconded, contractors and self-employed individuals. In Control seeks to fully engage its entire staff in pursuit of its objectives and vision. An employee handbook which includes policy, practice and a communications and support plan set out the organisation's values. Routine internal communication takes place which includes regular meetings, conference calls, video conferencing, one-to-one meetings with line managers and a bi- monthly staff newsletter.

Staffing policies

In Control contracts an independent HR service for employment law and advice on a retainer basis, which supports a personnel review and strategy. In Control reviews its personnel policies and procedures at least annually and has updates on personnel at each board meeting.

Remuneration

Trustees give of their time freely so do not receive any remuneration or benefits from In Control though

^{*}Members of key management

For the year ended 31 March 2020

they may claim travel and accommodation expenses.

The pay and remuneration strategy for Key Management Personnel and employees was set in 2011 by a Remuneration Committee. A number of criteria are used in setting pay levels including:

- the nature of the role and responsibilities;
- trends in pay;
- a benchmarking exercise with comparable organisations to ensure suitable rates of salary are paid to staff;
- The needs of the organisation.

We take inflation into account by considering yearly increments based on available finances and pay trends. Rates of pay are reviewed by The Treasurer, the Chair and the Chief Executive annually.

Health and safety

The office manager is the appointed health and safety coordinator who, together with other members of staff, undertakes an audit and review of risks, takes appropriate actions under delegated authority and makes any recommendations necessary to the executive group. The chief executive officer ensures any causes for concern are included on the company risk register.

Risk Management

The Trustees have a risk management strategy involving a regular review of the major risks to which In Control is exposed and approval systems and actions for managing and mitigating them, including the maintenance of a risk register which is updated on a regular basis. The board recognises In Control operates at significant risk of failing to achieve adequate income to meet its commitments and pursue its objectives. The risk is monitored and actively managed by the employees who report through the Finance, Audit and Business Committee to the Trustees of In Control.

The key elements in the management of this risk have been as follows;

- diversification of income minimised by actively shifting the balance of reliance on public service funding by seeking trust funds and exploring partnerships with other groups to share resource to meet the same outcomes.
- Surplus reserves have been utilised over the last year to invest in development activity. In Control
 have a reserves policy in place and mitigate risks by ensuring it is discussed as a standing agenda
 item at every Board of Trustee meeting.
- Internal control risks are minimised by the procedures in place for authorisation of expenditure and commitments. Insurance is in place.

Financial control

Through the Finance, Audit and Business Committee, the board monitors all aspects of financial performance and financial management through its regular meetings. It sets annual budgets and requires reporting against them at least quarterly. It reviews internal financial management and reporting arrangements at least annually. In terms of day-to-day financial control, a comprehensive and robust set of financial procedures is in place. It is the policy of In Control that funds which have not been designated for a specific use should be maintained at a level equivalent to between three and nine month's expenditure. The Trustees consider that cash balances at this level will ensure that, in the event of a significant drop in funding, In Control's current activities will continue while consideration is given to ways in which additional funds may be raised. This level of cash balances has been maintained throughout the period.

Financial review

The financial review is set out in the Treasurer's report on pages 13 and 14. A breakdown of charitable and fundraising activities can be found in note 3 to the financial statements. The Trustees confirm that

For the year ended 31 March 2020

the performance of these activities undertaken during the year sufficiently met those objectives of the charity set out in the Chief Executive Officer's Report on page 5.

The full Statement of Trustees' Responsibilities is set out below

Statement of responsibilities of the trustees

The trustees (who are also directors of In Control Partnerships for the purposes of company law) are responsible for preparing the trustees' annual report and the financial statements in accordance with applicable law and United Kingdom Accounting Standards (United Kingdom Generally Accepted Accounting Practice).

Company law requires the trustees to prepare financial statements for each financial year which give a true and fair view of the state of affairs of the charitable company and of the incoming resources and application of resources, including the income and expenditure, of the charitable company for that period. In preparing these financial statements, the trustees are required to:

- Select suitable accounting policies and then apply them consistently
- Observe the methods and principles in the Charities SORP
- Make judgements and estimates that are reasonable and prudent
- State whether applicable UK Accounting Standards and statements of recommended practice have been followed, subject to any material departures disclosed and explained in the financial statements
- Prepare the financial statements on the going concern basis unless it is inappropriate to presume that the charity will continue in operation

The trustees are responsible for keeping adequate accounting records that disclose with reasonable accuracy at any time the financial position of the charitable company and enable them to ensure that the financial statements comply with the Companies Act 2006. They are also responsible for safeguarding the assets of the charitable company and hence for taking reasonable steps for the prevention and detection of fraud and other irregularities.

The trustees are responsible for the maintenance and integrity of the corporate and financial information included on the charitable company's website. Legislation in the United Kingdom governing the preparation and dissemination of financial statements may differ from legislation in other jurisdictions.

These accounts have been prepared in accordance with the provisions applicable to companies subject to the small companies' regime.

The trustees' annual report has been approved by the trustees on 14 July 2020 and signed on their behalf by

On behalf of the board of Trustees

Loredana Guetg-Wyatt

Trustee

Independent examiner's report to the trustees of In Control Partnerships

For the year ended 31 March 2020

I report to the trustees on my examination of the accounts of In Control Partnerships for the year ended 31 March 2020.

This report is made solely to the trustees as a body, in accordance with the Charities Act 2011. My examination has been undertaken so that I might state to the trustees those matters I am required to state to them in an independent examiner's report and for no other purpose. To the fullest extent permitted by law, I do not accept or assume responsibility to anyone other than the charity and the trustees as a body, for my examination, for this report, or for the opinions I have formed.

Responsibilities and basis of report

As the charity trustees of the Company you are responsible for the preparation of the accounts in accordance with the requirements of the Charities Act 2011 ('the Act')/Companies Act 2006 ('the 2006 Act').

Having satisfied myself that the accounts of the Company are not required to be audited under Part 16 of the 2006 Act and are eligible for independent examination, I report in respect of my examination of the Company's accounts carried out under section 145 of the 2011 Act and in carrying out my examination I have followed all the applicable Directions given by the Charity Commission under section 145(5)(b) of the Charities Act 2011 ('the 2011 Act').

Independent examiner's statement

Since the Company's gross income exceeded £250,000 your examiner must be a member of a body listed in section 145 of the 2011 Act. I confirm that I am qualified to undertake the examination because I am a member of the Institute of Chartered Accounts in England and Wales, which is one of the listed bodies.

I have completed my examination. I confirm that no material matters have come to my attention in connection with the examination giving me cause to believe that in any material respect:

- 1 Accounting records were not kept in respect of the Company as required by section 386 of the 2006 Act; or
- 2 The accounts do not accord with those records; or
- 3 accounts do not comply with the accounting requirements of section 396 of the 2006 Act other than any requirement that the accounts give a 'true and fair view' which is not a matter considered as part of an independent examination; or
- **4** The accounts have not been prepared in accordance with the methods and principles of the Statement of Recommended Practice for accounting and reporting by charities.

I have no concerns and have come across no other matters in connection with the examination to which attention should be drawn in this report in order to enable a proper understanding of the accounts to be reached.

Signed:

Name: Fleur Holden FCA

Address: Sayer Vincent LLP, Invicta House, 108-114 Golden Lane, London, EC1Y 0TL

Date: 17 August 2020

In Control Partnerships

Statement of financial activities (incorporating an income and expenditure account)

For the year ended 31 March 2020

	Note	Unrestricted £	Restricted £	2020 Total £	Unrestricted £	Restricted £	2019 Total £
Income from: Donations	2	42,509		42 500	145		145
Charitable activities	2	42,309	_	42,509	145	_	145
Membership	3	85,992	_	85,992	146,745	_	146,745
Products and programmes	3	231,903	98,100	330,004	124,143	35,845	159,988
Other trading activities		_	_	_	8	_	8
Investments		1,727	-	1,727	1,541	_	1,541
Total income		362,131	98,100	460,232	272,582	35,845	308,427
Expenditure on: Charitable activities Membership Products and programmes	4 4	99,810 253,753	- 18,985	99,810 272,739	133,734 198,044	- 67,609	133,734 265,653
. 5	•						
Total expenditure		353,564	18,985	372,549	331,778	67,609	399,387
Net income / (expenditure) for the year before transfers	5	8,567	79,115	87,683	(59,196)	(31,764)	(90,960)
Transfers between funds					(1,109)	1,109	
Net movement in funds		8,567	79,115	87,683	(60,305)	(30,655)	(90,960)
Reconciliation of funds: Total funds brought forward		299,999	-	299,999	360,304	30,655	390,959
Total funds carried forward		308,566	79,115	387,681	299,999		299,999

All of the above results are derived from continuing activities. There were no other recognised gains or losses other than those stated above. Movements in funds are disclosed in note 16 to the financial statements.

Balance sheet

As at 31 March 2020

Company no. 06393960

Fixed assets : Tangible assets	Note	£	2020 £	£	2019 £
Current assets: Debtors Short term deposits Cash at bank and in hand	11	126,907 298,070 188,525	_	44,613 338,844 76,178	-
Liabilities: Creditors: amounts falling due within one year	12 _	613,502 (225,821)	-	459,635 (158,136)	
Net current assets		_	387,681	_	301,499
Total assets less current liabilities			387,681		301,499
Creditors: amounts falling due after one year	13		-		(1,500)
Total net assets		- -	387,681	-	299,999
The funds of the charity: Restricted income funds Unrestricted income funds: General funds	16a	308,566	79,115	299,999	-
Total unrestricted funds	_		- 308,566		299,999
Total charity funds		_	387,681	-	299,999

The opinion of the directors is that the company is entitled to the exemptions conferred by Section 477 of the Companies Act 2006 relating to small companies.

The directors acknowledge the following responsibilities:

- (i) The members have not required the company to obtain an audit of its accounts for the year in question in accordance with section 476,
- (ii) The directors acknowledge their responsibilities for complying with the requirements of the Companies Act 2006 with respect to accounting records and the preparation of accounts.

Approved by the trustees on 14 July 2020 and signed on their behalf by

Loredana Guetg-Wyatt Trustee

Notes to the financial statements

For the year ended 31 March 2020

1 Accounting policies

a) Statutory information

In Control Partnerships is a charitable company limited by guarantee and is incorporated in England and Wales. The registered office address is Carillon House, Chapel Lane, Wythall, B47 6JX.

b) Basis of preparation

The financial statements have been prepared in accordance with Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) – (Charities SORP FRS 102), The Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) and the Companies Act 2006.

Assets and liabilities are initially recognised at historical cost or transaction value unless otherwise stated in the relevant accounting policy or note.

In applying the financial reporting framework, the trustees have made a number of subjective judgements, for example in respect of significant accounting estimates. Estimates and judgements are continually evaluated and are based on historical experience and other factors, including expectations of future events that are believed to be reasonable under the circumstances. The nature of the estimation means the actual outcomes could differ from those estimates. Any significant estimates and judgements affecting these financial statements are detailed within the relevant accounting policy below.

c) Public benefit entity

The charity meets the definition of a public benefit entity under FRS 102.

d) Going concern

The charity is heavily funded by central government contracts, membership income and income generated from products and programmes. Whilst some of this income is guaranteed for the year to 31 March 2021 the remainder is subject to uptake/renewal of memberships and uptake of participation in programmes and the associated products.

The charitable company's activities have always been funded in this way and the trustees' are confident that sufficient income will be generated in the period for the charity to continue as a going concern. As a result, the trustees consider that there are no material uncertainties about the charitable company's ability to continue as a going concern and the accounts have been prepared on this basis.

The trustees do not consider that there are any sources of estimation uncertainty at the reporting date that have a significant risk of causing a material adjustment to the carrying amounts of assets and liabilities within the next reporting period.

e) Income

Income is recognised when the charity has entitlement to the funds, any performance conditions attached to the income have been met, it is probable that the income will be received and that the amount can be measured reliably.

Income from government and other grants, whether 'capital' grants or 'revenue' grants, is recognised when the charity has entitlement to the funds, any performance conditions attached to the grants have been met, it is probable that the income will be received and the amount can be measured reliably and is not deferred.

Income received in advance of the provision of a specified service is deferred until the criteria for income recognition are met.

f) Interest receivable

Interest on funds held on deposit is included when receivable and the amount can be measured reliably by the charity; this is normally upon notification of the interest paid or payable by the bank.

g) Fund accounting

Restricted funds are to be used for specific purposes as laid down by the donor. Expenditure which meets these criteria is charged to the fund.

Unrestricted funds are donations and other incoming resources received or generated for the charitable purposes.

Designated funds are unrestricted funds earmarked by the trustees for particular purposes.

Notes to the financial statements

For the year ended 31 March 2020

1 Accounting policies (continued)

h) Expenditure and irrecoverable VAT

Expenditure is recognised once there is a legal or constructive obligation to make a payment to a third party, it is probable that settlement will be required and the amount of the obligation can be measured reliably. Expenditure is classified under the following activity headings:

- Costs of raising funds relate to the costs incurred by the charity in inducing third parties to make voluntary contributions to it, as well as the cost of any activities with a fundraising purpose
- Expenditure on charitable activities includes the costs of delivering services undertaken to further the purposes of the charity and their associated support costs
- Other expenditure represents those items not falling into any other heading

Irrecoverable VAT is charged as a cost against the activity for which the expenditure was incurred.

i) Allocation of support costs

Resources expended are allocated to the particular activity where the cost relates directly to that activity. However, the cost of overall direction and administration of each activity, comprising the salary and overhead costs of the central function, is apportioned on the following basis which are an estimate, based on staff time, of the amount attributable to each activity.

Where information about the aims, objectives and projects of the charity is provided to potential beneficiaries, the costs associated with this publicity are allocated to charitable expenditure.

Support and governance costs are re-allocated to each of the activities on the following basis which is an estimate, based on staff time, of the amount attributable to each activity

• Membership 25%

• Products and programmes 75%

Governance costs are the costs associated with the governance arrangements of the charity. These costs are associated with constitutional and statutory requirements and include any costs associated with the strategic management of the charity's activities.

j) Tangible fixed assets

Items of equipment are capitalised where the purchase price exceeds £500. Depreciation costs are allocated to activities on the basis of the use of the related assets in those activities. Assets are reviewed for impairment if circumstances indicate their carrying value may exceed their net realisable value and value in use.

Where fixed assets have been revalued, any excess between the revalued amount and the historic cost of the asset will be shown as a revaluation reserve in the balance sheet.

Depreciation is provided at rates calculated to write down the cost of each asset to its estimated residual value over its expected useful life. The depreciation rates in use are as follows:

Office equipment
 Fixtures and fittings
 25% straight line

k) Debtors

Trade and other debtors are recognised at the settlement amount due after any trade discount offered. Prepayments are valued at the amount prepaid net of any trade discounts due.

l) Short term deposits

Short term deposits includes cash balances that are invested in accounts with a maturity date of between 3 and 12 months.

m) Cash at bank and in hand

Cash at bank and cash in hand includes cash and short term highly liquid investments with a short maturity of three months or less from the date of acquisition or opening of the deposit or similar account.

n) Creditors and provisions

Creditors and provisions are recognised where the charity has a present obligation resulting from a past event that will probably result in the transfer of funds to a third party and the amount due to settle the obligation can be measured or estimated reliably. Creditors and provisions are normally recognised at their settlement amount after allowing for any trade discounts due.

Notes to the financial statements

For the year ended 31 March 2020

1 Accounting policies (continued)

o) Financial instruments

The charity only has financial assets and financial liabilities of a kind that qualify as basic financial instruments. Basic financial instruments are initially recognised at transaction value and subsequently measured at their settlement value with the exception of bank loans which are subsequently measured at amortised cost using the effective interest method.

p) Pensions

In Control Partnerships operates a defined contribution scheme for its employees.

2 Income from donations

	Unrestricted £	Restricted £	2020 Total £	Unrestricted £	Restricted £	2019 Total £
Donations Esmee Fairbairn	2,509 40,000	- -	2,509 40,000	145	- -	145
	42,509	_	42,509	145	_	145

3 Income from charitable activities

	Unrestricted £	Restricted £	2020 Total £	Unrestricted £	Restricted £	2019 Total £
Membership income: Community of Change	85,992	_	85,992	146,745	_	146,745
Sub-total for membership income	85,992	-	85,992	146,745	_	146,745
Income from products and programmes:						
Citizen Leadership Social Care Future	53,648 -	98,100	53,648 98,100	19,881 -	- 35,845	19,881 35,845
Integrated Personal Care Other income	178,256 -		178,256 -	104,230 32		104,230 32
Sub-total for products and programmes	231,903	98,100	330,004	124,143	35,845	159,988
Total income from charitable activities	317,895	98,100	415,995	270,888	35,845	306,733

Notes to the financial statements

For the year ended 31 March 2020

4a Analysis of expenditure (current year)

	Charitabl	e activities	-			
	Membership £	Products and Programmes £	Governance costs £	Support costs £	2020 Total £	2019 Total £
Staff costs (Note 6) Advertising Independent examination and	33,710 -	74,438 -	-	113,077 758	221,225 758	253,670 4,071
accountancy Consultancy Events	- - 28,483	- - 85,448	1,550 (1,000) 7,852	1,250 - -	2,800 (1,000) 121,783	2,826 (667) 81,070
Other expenses Premises expenses Stationery and other office costs	3,798 - 1,515	11,393 - 4,546	7,032	- 4,891 -	22,222 4,891 6,061	18,832 38,216 4,129
Taxation	67,505	175,824		(6,191)	(6,191)	(2,760)
Support costs	28,446	85,339	-	(113,785)	-	-
Governance costs	3,859	11,576	(15,434)			
Total expenditure 2020	99,810	272,739			372,549	
Total expenditure 2019	133,734	265,653				399,387

Notes to the financial statements

For the year ended 31 March 2020

4b Analysis of expenditure (prior year)

	Charitable	e activities	_		
	Membership £	Products and Programmes £	Governance costs	Support costs £	2019 Total £
Staff costs (Note 6) Advertising Independent examination and	49,600 -	108,192 -	-	95,878 4,071	253,670 4,071
accountancy Consultancy Events Other expenses Premises expenses Stationery and other office costs Taxation	39,400 3,750 - 235	- 32,715 2,399 - 101	2,826 (667) 7,327 7,080 - 69	- 1,628 5,603 38,216 3,724 (2,760)	2,826 (667) 81,070 18,832 38,216 4,129 (2,760)
Support costs	92,985	143,407	16,635	146,360	399,387
Governance costs	4,159	12,476	(16,635)		
Total expenditure 2019	133,734	265,653			399,387

For the year ended 31 March 2020

5 Net income / (expenditure) for the year before transfers

This is stated after charging:	2020 £	2019 £
Depreciation Independent examiner's remuneration (excluding VAT):	-	2,743
Accounts preparation Independent examination fee	1,950 1,600	1,950 1,550

6 Analysis of staff costs, trustee remuneration and expenses, and the cost of key management personnel

Staff costs were as follows:

	2020 £	2019 £
Salaries and wages Social security costs Employer's contribution to defined contribution pension schemes Other forms of employee benefits	187,520 16,715 16,990	199,985 15,250 35,004 3,431
	221,225	253,670

The following number of employees received employee benefits (excluding employer pension costs and employer's national insurance) during the year between:

	2020	2019
	No.	No.
£70,000 - £79,999	1	1
£80,000 - £89,999	-	1

The total employee benefits (including pension contributions and employer's national insurance) of the key management personnel were £184,614 (2019: £221,953).

The charity trustees were neither paid nor received any other benefits from employment with the charity in the year (2019: £nil). No charity trustee received payment for professional or other services supplied to the charity (2019: £nil).

Trustees' expenses represents the payment or reimbursement of travel and subsistence costs totalling £222.75 (2019: £180) incurred by 1 (2019: 1) member relating to attendance at meetings of the trustees.

Notes to the financial statements

For the year ended 31 March 2020

7 Staff numbers

The average number of employees (head count based on number of staff employed) during the year was 5 (2019: 6).

Staff are split across the activities of the charity as follows (full time equivalent basis):

start are spire across the activities of the charity as follows (rail time equivalent basis).	2020 No.	2019 No.
Operational Roles Administrative	1.7 1.3	2.2 1.8
	3.0	4.0

8 Related party transactions

There are no related party transactions to disclose for 2020 (2019: none).

There are no donations from related parties which are outside the normal course of business and no restricted donations from related parties.

9 Taxation

The charity is exempt from corporation tax as all its income is charitable and is applied for charitable purposes.

Cost	Fixtures and fittings £	Computer equipment £	Total £
At the start of the year Additions in year	1,389	26,777	28,166 -
At the end of the year	1,389	26,777	28,166
Depreciation At the start of the year Charge for the year	1,389	26,777	28,166
At the end of the year	1,389	26,777	28,166
Net book value At the end of the year			_
At the start of the year			_

All of the above assets are used for charitable purposes.

11	D -	L	
	υe	bto	ırs

	2020 £	2019 £
Trade debtors Prepayments and accrued income	126,027 880	40,243 4,370
	126,907	44,613

For the year ended 31 March 2020

12	Creditors: amounts falling due within one year			
			2020 £	2019 £
	Trade creditors Taxation and social security Accruals Deferred income (note 14)		24,369 18,597 15,439 167,416	4,704 21,774 22,668 108,990
			225,821	158,136
13	Creditors: amounts falling due after one year		2020 £	2019 £
	Deferred income (note 14)		-	1,500
		-	-	1,500
14	Deferred income			
			2020 £	2019 £
	Balance at the beginning of the year Amount released to income in the year Amount deferred in the year		110,490 (110,490) 167,416	190,095 (188,595) 108,990
	Balance at the end of the year		167,416	110,490
15a	Analysis of net assets between funds (current year)			
	U	nrestricted <u>f</u>	Restricted £	Total funds £
	Net current assets	308,566	79,115	387,681
	Net assets at 31 March 2020	308,566	79,115	387,681
15b	Analysis of net assets between funds (prior year)			
	U	nrestricted £	Restricted £	Total funds
	Net current assets/liabilities Long term liabilities	301,499 (1,500)	_ 	301,499 (1,500)
	Net assets at 31 March 2019	299,999		299,999

For the year ended 31 March 2020

16a Movements in funds (current year)

	At 1 April 2019 £	Income & gains £	Expenditure & losses £	Transfers £	At 31 March 2020 £
Restricted funds: Integrated Personal Care – All Together Better Social Care Future Programme	- -	98,100	(18,985)	<u>-</u>	- 79,115
Total restricted funds	_	98,100	(18,985)	_	79,115
Total unrestricted funds	299,999	362,131	(353,564)		308,566
Total funds	299,999	460,231	(372,549)		387,681

The narrative to explain the purpose of each fund is given at the foot of the note below.

16b Movements in funds (prior year)

	At 1 April 2018 £	Income & gains £	Expenditure & losses £	Transfers £	At 1 April 2019 £
Restricted funds: Integrated Personal Care – All Together Better Social Care Future Programme	30,655 -	- 35,845	(30,655) (36,954)	1,109	<u>-</u>
Total restricted funds	30,655	35,845	(67,609)	1,109	_
Total unrestricted funds	360,304	272,582	(331,778)	(1,109)	299,999
Total funds	390,959	308,427	(399,387)		299,999

Purposes of restricted funds

Integrated Personal Care - All Together Better - relates to transforming a care agenda to deliver a leadership programme for recipients and their families.

Social Care Future – this is a National Initiative that In Control are administrating. The funds are donated for the purpose of bringing partners together from across the Social Care Field to work towards a better future for Social Care for people and families in England. This initiative works to our charity objectives of partnership working to advance the education of the public in relation to the needs of people who need additional support.

17 Legal status of the charity

The charity is a company limited by guarantee and has no share capital. The liability of each member in the event of winding up is limited to £1.